

INTRAMOLECULAR PHOTOCYCLOADDITION OF 1,3-DIENES WITH 2-PYRIDONES

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Abstract: Intramolecular photocycloaddition of a 2-pyridone and an acyclic 1,3-diene leads to formation of an apparent [2 + 2] product. This is presumably formed by an initial [4 + 4] cycloaddition followed by Cope rearrangement of the highly strained product. The isolated 1,2-divinylcyclobutane undergoes a Cope rearrangement at 120 °C to give a polycyclic cyclooctadiene. © 1999 Elsevier Science Ltd. All rights reserved.

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2-Pyridones are generally poor substrates for thermal cycloaddition chemistry, in part because of their aromatic character.¹ Nevertheless, they undergo photochemical reactions with themselves under mild conditions and with few side reactions, yielding Dewar pyridones and/or [4 + 4] dimerization products.² When these photoreactions are conducted in the presence of other unsaturated species, different cycloaddition products can form, including [2 + 2] products from acrylonitrile,³ and [4 + 4] products from 1,3-dienes⁴ as well as other heterocycles such as triazolopyridines.⁵ We report here the first example of an intramolecular photocycloaddition of a pyridone and an acyclic 1,3-diene (**3**) that yields an apparent [2 + 2] cycloaddition product (**4**). Thermal rearrangement of this photoproduct yields a 1,5-cyclooctadiene, in a process that is similar to the rearrangement of the parent divinylcyclobutane⁶ and contrasts with the Cope rearrangement of 2-pyridone dimers.^{7,8}

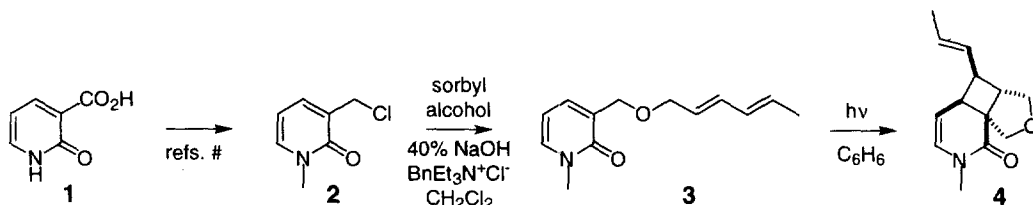


Figure 1. Synthesis of photosubstrate **3**. Photoreaction yields **4** as the major product.

Many examples of 2-pyridone photochemistry have been described. However, photoreaction of 2-pyridones with 1,3-dienes have only been reported by Sato and coworkers, and all examples have been intermolecular.⁴ To test the viability of an intramolecular 1,3-diene/2-pyridone photoreaction as part of our continuing investigation of 2-pyridone photoreactions, we prepared ether **3** from 2-oxo-nicotinic acid **1** and sorbyl alcohol, by conversion of **1** to 3-chloromethyl-1-methyl-2-pyridone **2** and etherification using phase-transfer reaction conditions.^{9,10} The resulting product **3**¹¹ was subjected to pyrex-filtered irradiation from a medium-pressure mercury lamp at ambient temperature, and the reaction was followed by TLC. In methanol,

with an initial concentration of 0.05 M, eight hours of irradiation was required to fully convert all of the starting **3**. The major product formed, tentatively identified as **4**,¹¹ was isolated in 29% yield.

Based on the known photoreactions of acyclic 1,3-dienes with aromatics,¹² this reaction could involve a highly strained intermediate, with the potential for subsequent reaction with solvent. Consistent with this view, changing the reaction solvent to benzene resulted in a reaction that was faster (2.5 h) and cleaner, with product **4** isolated in 67% yield.

Sato et. al have described the photocycloaddition of 2-pyridones with both cyclic (*s*-cis) and acyclic (largely or exclusively *s*-trans) 1,3-dienes.⁴ In both cases, [4 + 4] cycloaddition is the primary reaction pathway (Figure 2). Cyclopentadiene yields the *trans* [4 + 4] adduct **6** and cyclobutane **8**. The latter is believed to derive from *cis* [4 + 4] adduct **7**, which undergoes a facile Cope rearrangement. In the case of the *s*-trans 2,5-dimethyl-2,4-hexadiene, a strained product **9** is formed, with a *trans* alkene reflecting the ground-state structure of the 1,3-diene. This product rapidly isomerizes via Cope rearrangement to the more stable cyclobutane products **10** and **11**. Both of these Cope rearrangements (**7** → **8** and **9** → **10** + **11**) proceed in a manner opposite to the parent *cis* 1,2-divinylcyclobutane (**14**, Figure 4), which was found by Vogel to rearrange to 1,5-cyclooctadiene.

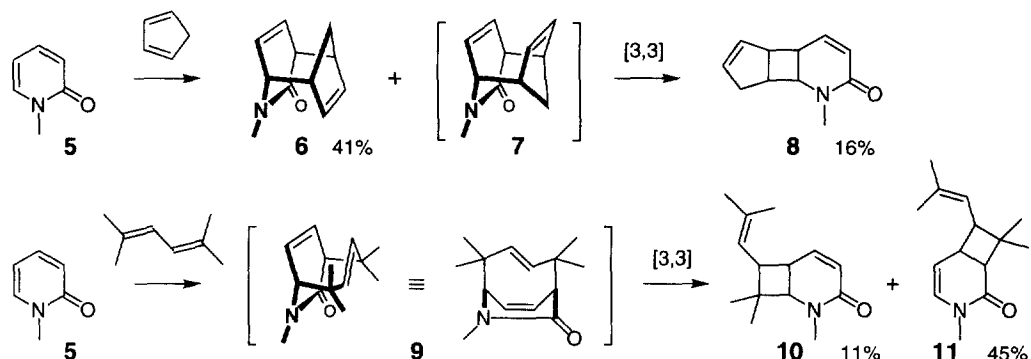


Figure 2. Sato's photoreactions of pyridones with cyclic and acyclic 1,3-dienes.⁴

We assume that the intramolecular reaction of the diene and the 2-pyridone in **3** follows a similar path. Photoreaction of **3** yields **12**, retaining the lowest energy *s*-trans conformation of the 1,3-diene. Cope rearrangement of the intermediate [4 + 4] product **12** results in the observed cyclobutane **4**. In an attempt to intercept **12**, photoreaction of **3** was repeated in the presence of three equivalents of furan. No evidence for formation of Diels-Alder product **13** was found, therefore if **12** is an intermediate, rearrangement must be rapid.¹³

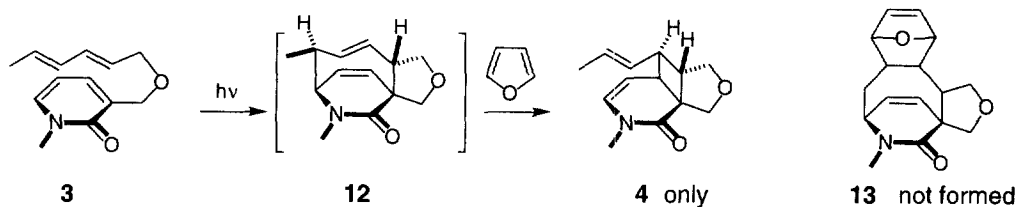


Figure 3. Photocycloaddition of **3** may involve **12** but it was not trapped by furan.

Rearrangement of *cis*-1,2-divinylcyclobutane **14** to 1,5-cyclooctadiene **15**⁶ is promoted by relief of ring strain, occurring at the modest temperature of 120 °C.⁶ The opposite rearrangement is found for polycyclic cyclooctadienes, such as the bis-2-pyridone [4 + 4] cycloadduct **16** which rearranges to cyclobutane **17** at 60 °C, with a half life of ca. 45 minutes.⁸ In view of these diametrically opposed reactivities, it was of interest to test the potential of **4** for Cope rearrangement. The proposed *cis* orientation of the two alkenes in **4** was based on its presumed origin from **12** (Figure 3). Few Cope rearrangements of acyclic diene-derived cyclobutanes such as **4** have been reported.¹⁴ In the event, heating a benzene-*d*₆ solution of **4** to 120 °C (sealed tube), and monitoring by proton NMR, gave a clean rearrangement of **4** to cyclooctadiene **18**,¹¹ with a half life of 45 minutes (66% yield after column chromatography). Therefore, the thermodynamics of the [3,3] rearrangement of **4** to **18** resembles that of the parent cyclobutane **14**/cyclooctadiene **15**, and not the photoadduct **16**/cyclobutane **17**.

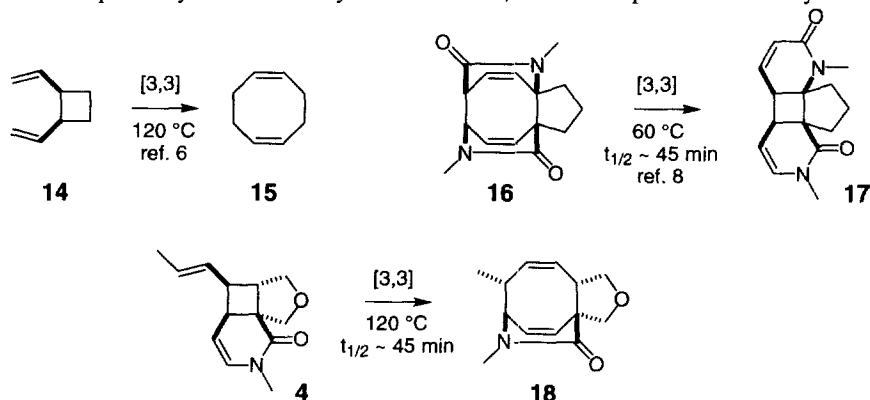


Figure 4. Cope rearrangement of **4** is like the parent divinylcyclobutane **14** rather than the pyridone-pyridone photoadduct **16**.

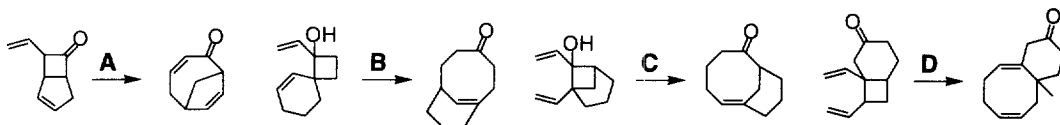
This intramolecular 1,3-diene/2-pyridone route to polycyclic cyclooctadienes may begin with a [2 + 2] cycloaddition, or a [4 + 4]/Cope rearrangement equivalent. In either case, this simple system leads to a complex product with four new stereogenic centers, as a single diastereomer. This reaction complements the intramolecular reactions of tethered 1,3-dienes^{15,16} and those of tethered heterocycles.¹⁷

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- 11 Selected data:
- 3: $^1\text{H NMR}$ (CDCl_3) δ 7.42 (d, $J = 6.9$ Hz, 1H), 7.19 (d, $J = 6.9$ Hz, 1H), 6.21 (dd, $J = 15.0, 10.4$ Hz, 1H), 6.15 (t, $J = 6.9$ Hz, 1H), 6.03 (dd, $J = 15.0, 10.4$ Hz, 1H), 5.73-5.59 (m, 2H), 4.42 (s, 2H), 4.08 (d, $J = 6.0$ Hz, 2H), 3.51 (s, 3H), 1.71 (d, $J = 6.6$ Hz, 3H). $^{13}\text{C NMR}$ (CDCl_3) δ 161.6, 136.3, 135.3, 133.2, 130.7, 129.9, 129.8, 126.4, 105.5, 71.3, 67.0, 37.3, 18.0.
- 4: $^1\text{H NMR}$ (CDCl_3) δ 5.94 (d, $J = 8.1$ Hz, 1H), 5.50 (m, 2H), 4.74 (dd, $J = 8.1, 4.4$ Hz, 1H), 4.25 (d, $J = 9.0$ Hz, 1H), 3.98 (d, $J = 9.0$ Hz, 1H), 3.79 (dd, $J = 9.3, 4.7$ Hz, 1H), 3.64 (m, 1H), 3.05 (s, 3H), 1.64 (d, $J = 6.3$ Hz, 3H). $^{13}\text{C NMR}$ (CDCl_3) δ 169.1, 130.1, 129.4, 126.6, 104.4, 77.1, 73.5, 55.5, 49.0, 44.2, 40.9, 34.7, 17.8.
- 18: $^1\text{H NMR}$ (CDCl_3) δ 6.20 (dd, $J = 9.3, 5.8$ Hz, 1H), 6.04 (d, $J = 9.3$ Hz, 1H), 5.07 (q, $J = 13.4$ Hz, 2H), 4.60 (d, $J = 8.5$ Hz, 1H), 4.12 (t, $J = 8.0$ Hz, 1H), 3.89 (d, $J = 8.5$ Hz, 1H), 3.70 (dd, $J = 5.8, 3.7$ Hz, 1H), 3.51 (m, 1H), 3.37 (m, 1H), 3.03 (s, 3H), 2.97 (m, 1H), 1.14 (d, $J = 7.7$ Hz, 1H). $^{13}\text{C NMR}$: (CDCl_3) δ 170.2, 130.9, 130.8, 126.3, 121.3, 75.8, 72.5, 63.9, 56.6, 52.2, 41.9, 33.3, 20.9.
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- 14 Divinylcyclobutanes related to **4** can be prepared by reaction of 1,3-dienes with benzene and with naphthalene followed by Cope rearrangement,¹² however rearrangement to a cyclooctadiene has not been reported. Other divinylcyclobutane rearrangements that lead to bicyclic structures include: **A**: Danheiser, R. L.; Gee, S. K.; Sard, H. *J. Am. Chem. Soc.* **1982**, *104*, 7670-7672. Trahanovsky, W. S.; Surber, B. W.; Wilkes, M. C.; Preckel, M. M. *J. Am. Chem. Soc.* **1982**, *104*, 6779-6781. Huston, R.; Rey, M.; Dreiding, A. S. *Helv. Chim. Acta* **1982**, *65*, 451-467. **B**: Kahn, M. *Tetrahedron Lett.* **1980**, *21*, 4547-4548. **C**: Snider, B. B.; Allentoff, A. J. *J. Org. Chem.* **1991**, *56*, 321-328. **D**: Majetich, G.; Hull, K.; Casares, A. M.; Khetani, V. *J. Org. Chem.* **1991**, *56*, 3958-3973.



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